



# CONCUSSION SETTLEMENT

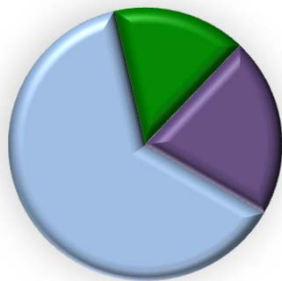
IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION  
No. 2:12-md-02323 (E.D. Pa.)

## Monetary Award Claims Report (As of 5/21/18)

	Table of Contents	Page No.
<b>A.</b>	<b>Summary Data</b>	
1.	Chart 1: Claims Submitted	2
2.	Chart 2: Qualifying Diagnosis Alleged for Monetary Award Claims	2
3.	Chart 3: Qualifying Diagnosis Date	2
4.	Chart 4: Notices Issued on Monetary Award Claims	2
5.	Chart 5: Payable Claims Summary	3
6.	Table 1: Status of Appealed Monetary Award Claims	3
7.	Table 2: Reasons in Notices of Denial Issued on Monetary Award Claims	4
8.	Chart 6: Claim Profile of All Settlement Class Members	4
<b>B.</b>	<b>Derivative Claimants</b>	
1.	Table 3: Derivative Claimant Notices Issued	5
2.	Table 4: Notice of Derivative Claimant Award Determination Detail	5
3.	Table 5: Reasons in Notices of Denial of Derivative Claim	5
<b>C.</b>	<b>Payable Claim Details</b>	
1.	Table 6: Deductions Listed on Notices of Monetary Award	6
2.	Table 7: Monetary Award Payments	7

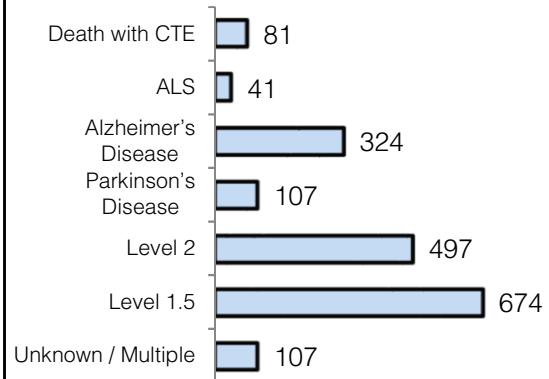
## Monetary Award Claims Report (As of 5/21/18)

**CHART 1: CLAIMS SUBMITTED  
(TOTAL: 2,324)**  
**MONETARY AWARD CLAIMS: 1,831**  
**DERIVATIVE CLAIMS: 493**



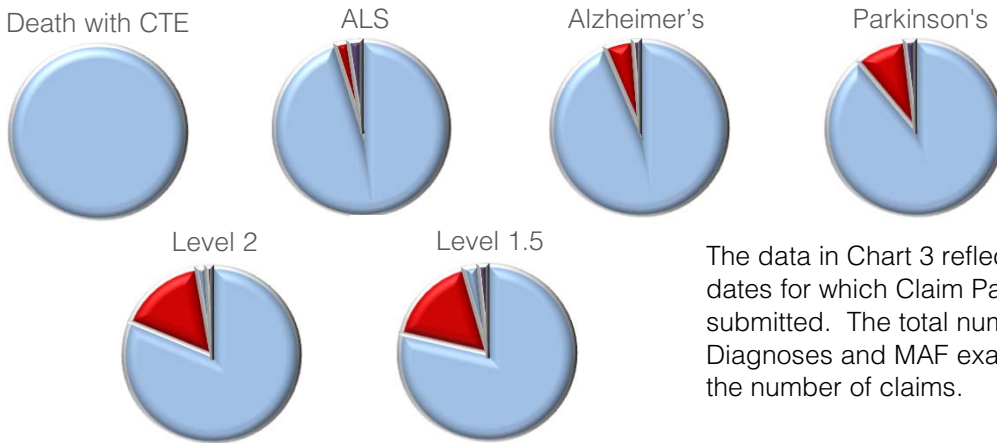
- Retired Player  
1,465 (63%)
- Representative Claimant  
366 (16%)
- Derivative Claimant  
493 (21%)

**CHART 2: QUALIFYING DIAGNOSIS  
ALLEGED FOR MONETARY AWARD  
CLAIMS**



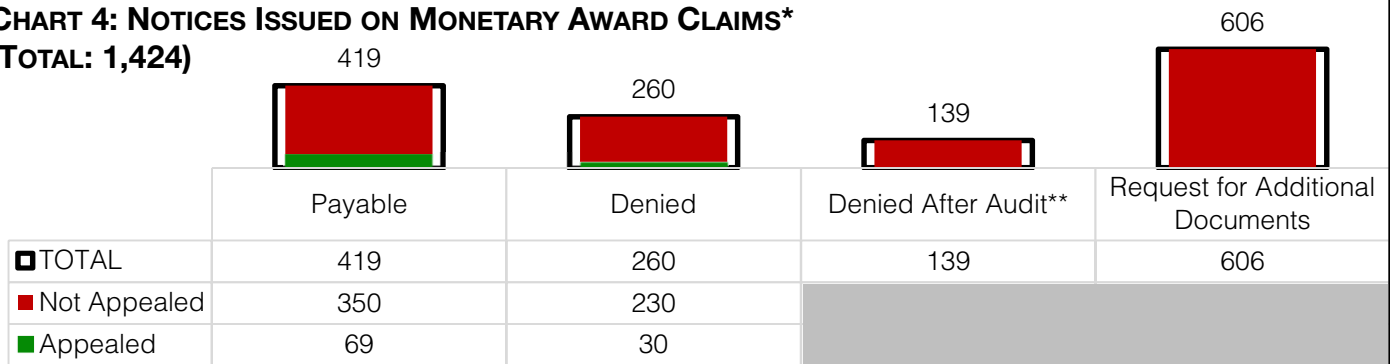
**CHART 3: QUALIFYING DIAGNOSIS DATE**

Pre-Effective Date: 1,450    Post-Effective Date: 251    Unknown: 130



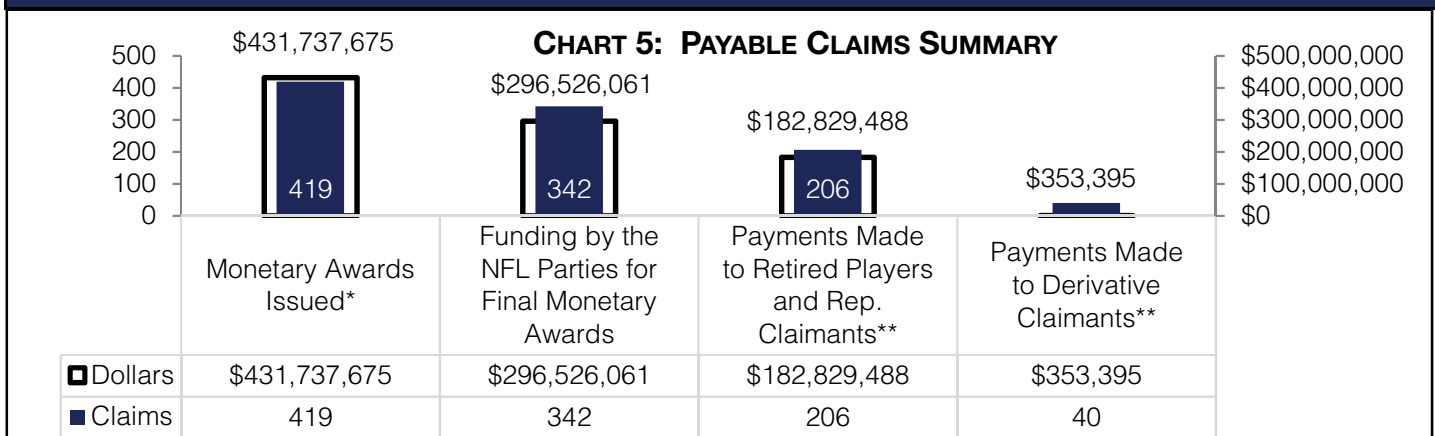
The data in Chart 3 reflects Qualifying Diagnoses dates for which Claim Packages have been submitted. The total number of BAP Qualifying Diagnoses and MAF exams will be greater than the number of claims.

**CHART 4: NOTICES ISSUED ON MONETARY AWARD CLAIMS\***  
**(TOTAL: 1,424)**



\* Chart 4 provides a unique count of all Monetary Award claims that have received a notice. Claims receiving multiple notices are counted only once in Chart 4 based on the most recent notice issued. The number of Appealed Notices only reflects those claims that have been Appealed on the most recent notice issued. For a total of all Appeals, see Table 1.

\*\*The results of the Audit closed these claims and directed further alternatives for these Settlement Class Members.



\* This figure reflects the Monetary Award totals prior to any application of holdbacks for potential Derivative Claimants, common benefit fees, liens and determinations on appeals.

\*\* The difference between the dollars funded by the NFL and the dollars paid out to Settlement Class Members ("SCMs") represents amounts withheld for Potential Derivative Claimant Awards, common benefit fees and liens and could still be paid to the SCM.

<b>TABLE 1</b>		<b>STATUS OF APPEALED MONETARY AWARD CLAIMS</b>		
	Status***	SCM Appeals	NFL Appeals	Total
<b>A.</b>	<b>Payable Claims</b>	<b>12</b>	<b>64</b>	<b>76</b>
1.	Appeal Filed and Awaiting Fee Payment or Filed Appeal Notice	0	1	1
2.	Appeal Alert Issued - No Response Yet	3	31	34
3.	Appellee Opposition Memo Received	1	7	8
4.	Remanded to Claims Administrator	4	5	9
5.	With Special Masters for Decision	2	2	4
6.	Result Upheld on Appeal	2	16	18
7.	Result Overturned on Appeal	0	2	2
<b>B.</b>	<b>Denied Claims</b>	<b>41</b>	<b>0</b>	<b>41</b>
1.	Appeal Filed and Awaiting Fee Payment or Filed Appeal Notice	0	0	0
2.	Appeal Alert Issued - No Response Yet	5	0	5
3.	Appellee Opposition Memo Received	0	0	0
4.	Remanded to Claims Administrator	18	0	18
5.	With Special Masters for Decision	6	0	6
6.	Result Upheld on Appeal	12	0	12
7.	Result Overturned on Appeal	0	0	0
<b>C.</b>	<b>TOTAL APPEALS</b>	<b>53</b>	<b>64</b>	<b>117</b>

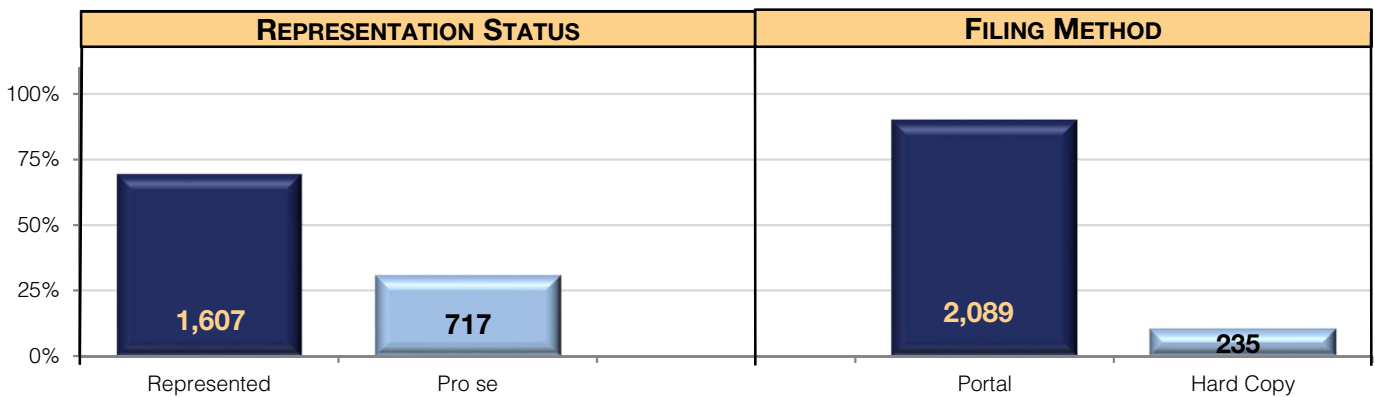
\*\*\* Co-Lead Class Counsel has filed statements in 10 of the Appeals listed in Table 1. These Appeals are distributed across multiple statuses in the Appeals Process.

**TABLE 2 REASONS IN NOTICES OF DENIAL ISSUED ON MONETARY AWARD CLAIMS**

	Reason	Notices*	Appeals
1.	<b>Death with CTE Claims</b>	<b>6</b>	<b>2</b>
	(a) Death occurred after Final Approval	3	
	(b) Death occurred before 7/7/14 but QD was after Final Approval	1	
	(c) Death Betw. 7/7/14 & 4/22/15; QD > 270 Days from Death	1	
	(e) Appeals Advisory Panel Denial - Inappropriate Physician	0	
	(f) Appeals Advisory Panel Denial - Qualifying Diagnosis	0	
	(g) Complete Claim Package Not Provided	1	
2.	<b>ALS Claims</b>	<b>1</b>	
3.	<b>Alzheimer's Disease Claims</b>	<b>29</b>	<b>9</b>
	(a) Appeals Advisory Panel Denial - Inappropriate Physician	7	
	(b) Appeals Advisory Panel Denial - Qualifying Diagnosis	24	
	(c) Disqualified Physician	1	
4.	<b>Parkinson's Disease Claims</b>	<b>3</b>	<b>0</b>
	(a) Appeals Advisory Panel Denial - Inappropriate Physician	3	
	(b) Appeals Advisory Panel Denial - Qualifying Diagnosis	3	
	(c) Complete Claim Package Not Provided	0	
5.	<b>Level 2 Claims</b>	<b>60</b>	<b>6</b>
	(a) Appeals Advisory Panel Denial - Inappropriate Physician	9	
	(b) Appeals Advisory Panel Denial - Qualifying Diagnosis	34	
	(c) Disqualified Physician	17	
	(d) Complete Claim Package Not Provided	5	
6.	<b>Level 1.5 Claims</b>	<b>105</b>	<b>13</b>
	(a) Appeals Advisory Panel Denial - Inappropriate Physician	14	
	(b) Appeals Advisory Panel Denial - Qualifying Diagnosis	78	
	(c) Disqualified Physician	12	
	(d) Complete Claim Package Not Provided	14	
7.	<b>Unconfirmed QD - Complete Claim Package Not Provided</b>	<b>56</b>	<b>0</b>
8.	<b>TOTALS</b>	<b>260</b>	<b>30</b>

\*Claims receiving a Denial Notice with multiple Denial Reasons will be counted once for each reason in the sub rows of Table 2

**CHART 6: CLAIM PROFILE OF ALL SETTLEMENT CLASS MEMBERS**



<b>TABLE 3 DERIVATIVE CLAIMANT NOTICES ISSUED</b>		
	<b>Notice Type</b>	<b>Total Notices</b>
1.	Notice of Derivative Claim Package Submission Deadline	197
2.	Derivative Claim Package Receipt Notice	487
3.	Notice of Denial of Derivative Claim	4
4.	Derivative Claimant Incompleteness Notice	0
5.	Derivative Claimant Challenge Determination Notice	31
6.	Notice of Derivative Claimant Award Determination	62
7.	<b>TOTAL</b>	<b>781</b>

<b>TABLE 4 NOTICE OF DERIVATIVE CLAIMANT AWARD DETERMINATION DETAIL</b>			
	<b>Type</b>	<b>Number</b>	<b>Amount</b>
1.	Full Award (one Derivative Claimant takes all)	18	\$256,176
2.	Equal Allocation (by Claims Administrator to multiple Derivative	44	\$253,971
	(a) Pending	5	\$51,600
	(b) Final	39	\$202,371
3.	Post-Allocation Objection (state law allocation by Claims Administrator	0	\$0
	(a) Pending	0	\$0
	(b) Final	0	\$0
4.	Post-Appeal (by Special Master to multiple Derivative Claimants)	0	\$0
	(a) Pending	0	\$0
	(b) Final	0	\$0
5.	Court Determination	0	\$0
6.	<b>TOTALS</b>	<b>62</b>	<b>\$510,147</b>

<b>TABLE 5 REASONS IN NOTICES OF DENIAL OF DERIVATIVE CLAIM</b>		
	<b>Reason</b>	<b>Notices</b>
1.	Duplicate	0
	(a) Of Pending Claim	0
	(b) Of Previously-Paid Claim	0
	(c) Of Previously-Denied Claim	0
2.	No Timely or Proper Registration	0
	(a) By Retired NFL Football Player	0
	(b) By Derivative Claimant	0
3.	Retired NFL Football Player is Opt Out	0
4.	Untimely Derivative Claim Package	0
5.	Deceased Derivative Claimant	4
6.	Retired NFL Football Player Claim Denied	0
7.	<b>TOTAL</b>	<b>4</b>

**TABLE 6 DEDUCTIONS LISTED ON NOTICES OF MONETARY AWARD**

	<b>Deductions by Confirmed Qualifying Diagnosis</b>	<b>Number</b>	<b>Amount</b>
<b>1.</b>	<b>Grid Amount</b>	<b>419</b>	<b>\$534,540,405</b>
	(a) Death with CTE	63	\$100,844,667
	(b) ALS	30	\$102,350,000
	(c) Alzheimer's Disease	127	\$82,911,289
	(d) Parkinson's Disease	66	\$67,090,913
	(e) Level 2.0 Neurocognitive Impairment	51	\$100,554,870
	(f) Level 1.5 Neurocognitive Impairment	82	\$80,788,667
<b>2.</b>	<b>Deductions for Offsets</b>	<b>151</b>	<b>\$102,802,730</b>
	(a) Death with CTE	19	\$22,910,400
	(b) ALS	10	\$16,130,000
	(c) Alzheimer's Disease	45	\$11,131,672
	(d) Parkinson's Disease	25	\$20,019,358
	(e) Level 2.0 Neurocognitive Impairment	15	\$13,230,700
	(f) Level 1.5 Neurocognitive Impairment	37	\$19,380,600
<b>3.</b>	<b>Deductions for Derivative Claimant Awards</b>	<b>155</b>	<b>\$1,904,493</b>
	(a) Death with CTE	42	\$544,991
	(b) ALS	13	\$492,000
	(c) Alzheimer's Disease	52	\$342,670
	(d) Parkinson's Disease	19	\$151,039
	(e) Level 2.0 Neurocognitive Impairment	10	\$221,007
	(f) Level 1.5 Neurocognitive Impairment	19	\$152,788
<b>4.</b>	<b>Withholding for Common Benefit Fees</b>	<b>419</b>	<b>\$21,536,659</b>
	(a) Death with CTE	63	\$3,869,464
	(b) ALS	30	\$4,331,400
	(c) Alzheimer's Disease	127	\$3,571,847
	(d) Parkinson's Disease	66	\$2,346,026
	(e) Level 2.0 Neurocognitive Impairment	51	\$4,355,158
	(f) Level 1.5 Neurocognitive Impairment	82	\$3,062,764
<b>5.</b>	<b>Withholding for Liens</b>	<b>233</b>	<b>\$104,691,352</b>
	(a) Death with CTE	29	\$14,661,701
	(b) ALS	22	\$30,754,780
	(c) Alzheimer's Disease	67	\$15,867,877
	(d) Parkinson's Disease	32	\$7,584,600
	(e) Level 2.0 Neurocognitive Impairment	34	\$19,843,859
	(f) Level 1.5 Neurocognitive Impairment	49	\$15,978,535

**TABLE 7 MONETARY AWARD PAYMENTS**

	<b>Status by Confirmed Qualifying Diagnosis</b>	<b>Number</b>	<b>Amount</b>
<b>1.</b>	<b>Notice of Monetary Award</b>	<b>419</b>	<b>\$431,737,675</b>
	(a) Death with CTE	63	\$77,934,267
	(b) ALS	30	\$86,220,000
	(c) Alzheimer's Disease	127	\$71,779,617
	(d) Parkinson's Disease	66	\$47,071,554
	(e) Level 2.0 Neurocognitive Impairment	51	\$87,324,170
	(f) Level 1.5 Neurocognitive Impairment	82	\$61,408,067
<b>2.</b>	<b>Paid Claims</b>	<b>246</b>	<b>\$183,182,883</b>
	(a) Death with CTE	54	\$56,662,745
	(b) ALS	25	\$60,363,383
	(c) Alzheimer's Disease	74	\$35,091,115
	(d) Parkinson's Disease	42	\$23,325,321
	(e) Level 2.0 Neurocognitive Impairment	3	\$2,952,983
	(f) Level 1.5 Neurocognitive Impairment	8	\$4,433,940
	(g) Derivative Claimants	40	\$353,395
<b>3.</b>	<b>Payment in Progress (Claims Currently in the Funding/Disbursement Process)</b>	<b>77</b>	<b>\$58,803,758</b>
	(a) Death with CTE	6	\$6,395,200
	(b) ALS	2	\$9,000,000
	(c) Alzheimer's Disease	22	\$9,170,550
	(d) Parkinson's Disease	11	\$8,531,175
	(e) Level 2.0 Neurocognitive Impairment	13	\$11,031,167
	(f) Level 1.5 Neurocognitive Impairment	23	\$14,675,667
<b>4.</b>	<b>Ready to be Included on the Next Monthly Funding/Disbursement List (10th of every month)</b>	<b>15</b>	<b>\$6,825,933</b>
	(a) Death with CTE	0	\$0
	(b) ALS	1	\$870,000
	(c) Alzheimer's Disease	6	\$1,388,000
	(d) Parkinson's Disease	4	\$2,078,933
	(e) Level 2.0 Neurocognitive Impairment	0	\$0
	(f) Level 1.5 Neurocognitive Impairment	4	\$2,489,000
<b>5.</b>	<b>Not Ready to be Included on the Next Monthly Funding/Disbursement List (appeal option still available to SCM, Class Counsel, and/or NFL Parties; hold in place)</b>	<b>121</b>	<b>\$182,925,100</b>
	(a) Death with CTE	3	\$14,686,879
	(b) ALS	2	\$15,909,667
	(c) Alzheimer's Disease	25	\$26,089,307
	(d) Parkinson's Disease	9	\$13,089,768
	(e) Level 2.0 Neurocognitive Impairment	35	\$73,340,020
	(f) Level 1.5 Neurocognitive Impairment	47	\$39,809,460